



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary Hallmark

Serial No.: 09/757,399

Filed on: January 5, 2001

) Confirmation No.: 4042  
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)  
Examiner: FRANZ COBY  
)  
Group Art Unit No.: 2171  
)

For: METHOD AND APPARATUS FOR IMPLEMENTING PARALLEL OPERATIONS IN  
A DATABASE MANAGEMENT SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

The Examiner is hereby notified that for the following related application an  
Office Action has been received as indicated below:

**DISCLOSURE OF OFFICE ACTION**

U.S. Application/ Pat. No.	File Date	Office Action Mailing Date	Atty. Docket. No.
10/305,768	11/27/2002	1/3/2006	50277-2070

The related application may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application. Therefore, the Examiner is encouraged to review the file history of the related application as some of the information contained therein may be material to the examination of the present application.

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one the following (as indicated by an "X" to the left of the paragraph):

37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes the following:

A statement pursuant to 37 C.F.R. §1.97(e)

1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicants.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: January 17, 2006

  
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Christopher J. Brokaw  
Reg. No. 45,620

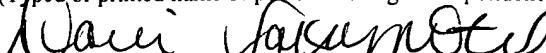
2055 Gateway Place, Suite 550  
San Jose, California 95110-1089  
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Facsimile: (408) 414-1076

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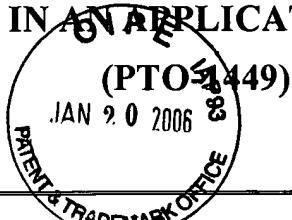
I hereby certify that this correspondence is being deposited with the United States Postal Service via first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 17, 2006

Darci Sakamoto

(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**



**ATTY. DOCKET NO.**  
50277-1646

**SERIAL NO.**  
09/757,399

**APPLICANT:**  
Gary Hallmark

**FILING DATE:**  
January 5, 2001

**GROUP:**  
2171

**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM/YYYY	Classification
		Number	Kind Code <sup>2</sup> (If known)			
		5,675,791		Bhide et al.	1/1997	395/621
		6,438,558	B1	Stegelmann	8/2002	707/102
		6,684,203	B1	Waddington et al.	1/2004	707/3
		6,941,360	B1	Srivastava et al.	9/2005	709/223

**FOREIGN PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.